

## **Institute for Oil Sands Innovation (IOSI)**

### **Agreement on Conditions for Researcher Participation in IOSI Projects**

Agreement to the following terms is required if your research idea is accepted as a funded project by IOSI.

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Structure for the Institute for Oil Sands Innovation (IOSI) is provided through the Foundation Agreement between the University of Alberta (UofA) and Imperial Oil Limited (Imperial Oil). IOSI will work with researchers to establish and monitor the progress of research projects, and to ensure that procedures for publication, disclosure of intellectual property, and maintaining confidentiality are followed. Consistent with the Foundation Agreement, recipients of IOSI funding must agree to the following conditions:

- 1) Intellectual property (IP) – Creation of new IP in all IOSI projects will be governed by the Foundation Agreement which grants certain rights to Imperial Oil. Different research theme areas have different IP ownership designations as specified above. For projects under the tailings fundamentals theme, the results of the research will be openly shared, license-free, with the members of Canada's Oil Sands Innovation Alliance (COSIA) – Tailings EPA.
  - 2) Confidentiality – All researchers shall make reasonable efforts to prevent disclosure to third parties of any confidential information provided by UofA, Imperial Oil or other collaborators in support of the project. Such information shall be identified in writing as confidential. This obligation does not apply if the information is already known to the researcher, or is revealed by third parties who have no duty to maintain such confidentiality, or after 10 years of receipt of the information.
  - 3) Publication rights – The Manager of IOSI must be provided with copies of all theses, presentations, and manuscripts at least 30 days before submission for publication to permit review for possible IP protection. IOSI is responsible for working with Imperial Oil, Alberta Innovates, and COSIA, to review materials and obtain approval from the Executive Management Committee (EMC) for publication. If the EMC determines that protection of IP is warranted, the submission may be delayed for a period up to 6 months to secure that protection. On collaborative projects, disclosure of manuscripts to collaborators at least 60 days before publication is also necessary to allow review for any confidential information. Consequent requests to remove confidential information shall be provided within 45 days of receipt of the manuscript.
  - 4) Project reviews – IOSI projects shall be subject to a staged review process to ensure progress and relevance. Reports to facilitate this process will be provided at the request of the Manager of IOSI. Continued funding may depend on successful review of progress by the IOSI Scientific Advisory Committee (SAC).
  - 5) Principal investigator honorarium/salary/benefits – IOSI emphasizes on training of highly qualified personnel. Therefore, IOSI funds are not to be used to cover the honorarium or salary/benefits of the principal investigators.
  - 6) Indirect costs – IOSI provides a flat rate of 20% to non-UofA research providers for indirect cost.
  - 7) Termination – The Foundation Agreement provides for its termination by either party with 180 days written notice. In the event the agreement is prematurely terminated, the researchers agree to work with the EMC to effect an orderly termination of active research.
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